Audit No.	Directorate /					Oninian	Proposed	Dooft leaved	Proposed	Final Insura	No. of		Recon	commendations			Comments	
	Service	Audit Area	Audit Type	Audit Name	Quarter	Status	Opinion	Draft Report	Draft Issued	Final	Final Issued	recs	5	4	3	2	1	Comments
	CARRIED FORWARD 2014 - 15 IN PROGRESS (STATUS AMBER)																	
2	Finance	Trust Funds	Operational	Trust Funds Administration	2014/15	Draft	Reasonble	16/06/2015	07/07/2015	28/06/2015								In progress draft issued
3	Finance	VAT	Operational	Payment & recovery of VAT	2014/15	Draft	Partial	06/06/2015	06/07/2015	20/06/2015								Meeting held with VAT Team 16/7/2015, report being redrafted to progress to Final
4	Information Services	IT Infrastructure	ICT	WUC_Corporate ICT Processes	2014/15	Draft	Reasonable	25/05/2015	16/07/2015	08/06/2015								In progress draft issued
5	People & Business Services	Economic Development	Special Investigation	LEP	2014/15	Draft	Partial	14/04/2015	30/06/2015	28/04/2015								Meeting held with LEP Board to discuss report - awaiting response before final issued.
6	Schools & Learning	Themed Reviews (Contingency)	Governance, Fraud & Corruption	Prevention of Fraud	2014/15	Draft	N/A	17/07/2015	17/07/2015	31/07/2015								In progress draft issued
7	Schools & Learning	Themed Reviews (Contingency)	ICT	Business Continuity Arrangements	2014/15	Draft	N/A	29/06/2015	16/07/2015	17/07/2015								To be issued on school's wisenet 16/7/2015
8	Transformation	Programme Office	Non Opinion	Wilts on line project	2014/15	Draft	N/A	14/06/2015	14/07/2015	28/06/2015								In progress draft issued
						CARRIE	FORWARD 2014	- 15 WORK IN PR	OGRESS (STATUS	RED)								
9	Children's Social Care	Foster Carers	Follow Up	Foster Carer Review	Jan-15	In Progress	N/A	19/06/2015		30/07/2015								Awaiting client's response, delayed as - currently undergoing unannounced OFSTED Review.
						CARF	RIED FORWARD 20	14-15 COMPLET	ED (STATUS GREE	EN)								
10	Children's Commissioning & Performance	Safeguarding (Child Protection)	Operational	Safeguarding	July 2014	Final	Partial	31/03/2015	12/05/2015	14/04/2015	07/07/2015	6	0	2	4	0	0	Complete
11	Children's Commissioning & Performance	Troubled Families	Grant Certification	Troubled Families Phase 1 14/15	February 2015	Final	Non Opinion	25/03/2015	30/04/2015	07/04/2015	30/06/2015	0	0	0	0	0	0	Complete
12	Core Cross-Cutting	Corporate Governance	Governance, Fraud & Corruption	Risk and Performance Management	July 2014	Final	Reasonable	23/12/2014	29/05/2015	11/06/2015	18/06/2015	8	0	1	7	0	0	Complete
13	Economic Development & Planning	Development Control	Operational	S.106 monies/Community Infrastructure Levy (CIL)	April 2014	Final	Substantial	23/09/2014	27/05/2015	11/06/2015	09/07/2015	2	0	0	1	1	0	Complete
14	Finance	Imprests	Operational	Unannounced imprest sites visits	April 2014	Final	Non Opinion	19/06/2015	04/06/2015	30/06/2015	01/07/2015	0	0	0	0	0	0	Complete
15	Finance	Key Financial Controls	Key Control	Key Financial Controls	October 2014	Final	Reasonable	04/02/2015	20/01/2015	18/02/2015	12/06/2015	28	0	5	19	4	0	Complete
16	Schools - Primary (incl First, Infant & Junior)	Ogbourne St George & St Andrew VC CE Primary School	Special Investigation	Obgbourne St George & St Andrew Investigation	January 2015	Final	Non Opinion	25/02/2015	23/02/2015	11/03/2015	23/02/2015	0	0	0	0	0	0	Complete
					1				RECO	OMMENDATIO	NS	44	0	8	31	5	0	
						2015/16	AUDITS PLANNED	BUT NOT YET ST	ARTED (STATUS O	GREEN)								
17	Adult Care Commissioning & Housing	Housing Rents	Key Control	Housing Rents	Jul-15	Created												* Key Control work to discuss with M Tiller following this year's cosolidated report - may sample test each quarter (like ICT Key Control). Once agreed audits will be set up to reflect agreed approach with client

Audit No.	Directorate /							Proposed		Proposed		No. of	Recomme No. of		nmenda	itions		
	Service	Audit Area	Audit Type	Audit Name	Quarter	Status	Opinion	Draft Report	Draft Issued	Final	Final Issued	recs	5	4	3	2	1	Comments
18	Finance	Accounts Payable	Key Control	Accounts Payable	Jul-15	Created												As above
19	Finance	Accounts Receivable	Key Control	Accounts Receivable	Jul-15	Created												As above
20	Finance	Capital Accounting/ Asset Management	Operational	Capital Accounting/ Asset Management	Oct-15	Created												As above
21	Finance	Council Tax	Key Control	Council Tax	Jul-15	Created												As above
22	Finance	Financial provisions management	Operational	Budgetary Control	Oct-15	Created												As above
23	Finance	Financial provisions management	Operational	Banking	Oct-15	Created												As above
24	Finance	Financial transactions management	Operational	Imprests year end returns	Apr-15	In Progress												As above
25	Finance	Financial transactions management	Operational	Controlled stationery	Apr-15	In Progress												As above
26	Finance	General Ledger & Financial Accounting	Key Control	GL & Financial Accounting	Jul-15	Created												As above
27	Finance	Housing & Council Tax Benefits	Key Control	Housing & Council Tax Benefits	Jul-15	Created												As above
28	Finance	Local taxation	Operational	Benefits processing	Jul-15	Created												As above
29	Finance	Local taxation	Operational	Council Tax Discounts	Jul-15	Created												As above
30	Finance	Non Domestic Rates	Key Control	NDR	Jul-15	Created												As above
31	Finance	Payroll	Key Control	Payroll	Jul-15	Created												As above
32	Finance	Pensions	Key Control	Pensions	Jul-15	Created												As above
33	Finance	Treasury Management	Key Control	Treasury Management	Jul-15	Created												As above
34	Information Services	Civica Cash Receipting	ІСТ	Civica Cash Receipting	Jul-15	Created												* New ICT Auditor appointed - to agree planned audits with him 22/7/15
35	Information Services	IT Infrastructure	ІСТ	Corporate ICT Processes	Jul-15	Created												As above
36	Information Services	Northgate Benefit System	ICT	IT - Revenues & Benefits (Northgate)	Jul-15	Created												As above
37	Information Services	QL Housing Management System	ICT	IT - QL	Jul-15	Created												As above
38	Information Services	SAP IT Key Control	ICT	IT - SAP	Jul-15	Created												*Training with SAP Team planned to enable independent sample testing on quarterly basis. Will set up audit once training complete.
39	Schools - Primary (incl First, Infant & Junior)	Primary School	School	Alderbury & West Grimstead CofE Primary School	Oct-15	Created												As above
40	Schools - Primary (incl First, Infant & Junior)	Dinton CoE Primary School	School	Dinton CoE Primary School	Oct-15	Created												As above
41	Schools - Primary (incl First, Infant & Junior)	Durrington CofE Voluntary Controlled Infants School	School	Durrington CofE Voluntary Controlled Infants School	Oct-15	Created												As above
42	Schools - Primary (incl First, Infant & Junior)	Grove Primary School	School	Grove Primary School	Oct-15	Created												As above

Audit No.	Directorate /							Proposed		Proposed		No. of			nmendat	ions		6
	Service	Audit Area	Audit Type	Audit Name	Quarter	Status	Opinion	Draft Report	Draft Issued	Final	Final Issued	recs	5	4	3	2	1	Comments
43	Schools - Primary (incl First, Infant & Junior)	Hilperton CofE Voluntary Controlled Primary School	School	Hilperton CofE Voluntary Controlled Primary School	Oct-15	Created											F	As above
44	Schools - Primary (incl First, Infant & Junior)	Hindon CofE Voluntary Aided Primary School - St Mary's & St John's	School	Hindon CofE Voluntary Aided Primary School - St Mary's & St John's	Oct-15	Created											ļ	As above
45	Schools - Primary (incl First, Infant & Junior)	Lacock CoE Primary School	School	Lacock CoE Primary School	Oct-15	Created											ļ	As above
46	Schools - Primary (incl First, Infant & Junior)	St Nicholas CofE Primary School, Porton	School	St Nicholas CofE Primary School, Porton	Oct-15	Created											A	As above
47	Schools - Primary (incl First, Infant & Junior)	Westwood with Iford School	School	Westwood with Iford School	Oct-15	Created											F	As above
48	Schools - Secondary (incl Upper)		School	Abbeyfield School	Oct-15	Created											P	As above
49	Transformation	Programme Office	Operational	SIBS Project	Oct-15	Created											Į.	As above
50	Transport & Infrastructure	Highways Development Control		Repair & Renew Grant Certification	Jul-15	Created											Į.	As above
51	Transport & Infrastructure	Transport & Infrastructure	Grant Certification	Repair & Renew Grant Certification	Jul-15	Created											Į.	As above
					20	15/16 PROJECTS	CURRENTLY IN PR	OGRESS - NO ISS	SUES TO REPORT	(STATUS GREE	:N)							
52	Children and families services		Child protection	Combined Assurance - Childrens Safeguarding	Apr-15	In Progress		ТВА		TBA							c t	Presentation to ELT on 22nd July 2015 then work to commence if timing is considered appropriate following the OFSTED review. If not, other pilot areas are being considered.
53	Council property	Maintenance of council property	Operational	Investigation into Contract Payments	Apr-15	Final	Non Opinion	15/06/2015	09/06/2015	29/06/2015	10/06/2015						C	Complete
54	Council property	Property and land management	Operational	CRC Scheme Certification	Apr-15	Draft	Non Opinion	03/07/2015	10/07/2015	24/07/2015							C	Complete
55	Council Property	Property and land management	Grant Certification	Repair & Renew Grant Certification	Jul-15	In progress		ТВА		ТВА								
56	Democracy	Governance, Fraud & Corruption	Operational	Healthy Organisation - Governance	Apr-15	In Progress		31/08/2015		14/09/2015								
57	Economic Development	Bus Subsidy Ring Fence Scheme	Operational	Bus Subsidy Ring Fence Scheme	Jul-15	In Progress		ТВА		ТВА								
58	Economic Development	Growth Hub	Operational	Growth Hub Grant - Claim 6 & 7	Apr-15	Fieldwork Complete				31/07/2015								
59	Economic Development	Growth Hub	Operational	Growth Hub Scheme	Jul-15	In Progress		ТВА		TBA								
60	Finance	Insurance	Operational	Insurance Cover review	Jul-15	In Progress		ТВА		TBA								
61	Healthy Organisation	Assurance Mapping	Operational	Healthy Organisation - assurance mapping	Apr-15	In Progress		31/07/2015		31/08/2015								
62	Healthy Organisation	Assurance Mapping	Operational	Programme and Project Management	Apr-15	In Progress		31/08/2015		14/09/2015								
63	Healthy Organisation	Assurance Mapping	Operational	Financial management	Apr-15	In Progress		31/08/2015		14/09/2015								
64	Healthy Organisation	Assurance Mapping	Operational	Commissioning & Procurement	Apr-15	In Progress		31/08/2015		14/09/2015								
65	Healthy Organisation	Assurance Mapping	Operational	Risk Management	Apr-15	In Progress		31/08/2015		14/09/2015								
66	Healthy Organisation	Assurance Mapping	Operational	Information management	Apr-15	In Progress		31/08/2015		14/09/2015								

Audit No.	Directorate /		Proposed	Proposed	Singl January	No. of	Recommendations											
	Service	Audit Area	Audit Type	Audit Name	Quarter	Status	Opinion	Draft Report	Draft Issued	Final	Final Issued	recs	5	4	3	2	1	Comments
67	Healthy Organisation	Assurance Mapping	Operational	Performance mangement	Apr-15	In Progress		31/08/2015		14/09/2015								
68	Healthy Organisation	Assurance Mapping	Operational	People & Asset Management	Apr-15	In Progress		31/08/2015		14/09/2015								
69	Healthy Organisation	Assurance Mapping	Operational	Corprate Governance	Apr-15	In Progress		31/08/2015		14/09/2015								
70	Healthy Organisation	Assurance Mapping	Operational	Risk management	Apr-15	In Progress		31/08/2015		14/09/2015								
71	Healthy Organisation	Public Health	Operational	Public Health - Combined Assurance - Healthy Organisation	Apr-15	In Progress		15/08/2015		31/08/2015								
72	Information & Communication Technology	ICT	Operational	ICT Healthcheck	Jul-15	In Progress		ТВА		TBA								
73	Information & Communication Technology	ICT	Operational	SAP ICT Key Controls quarterly Assurance	Jul-15	In Progress		ТВА		TBA								
74	Public Health	Assurance Mapping	Operational	Public health grant certification	Jul-15	In Progress		31/07/2015		14/08/2015								